

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Michelle D. Griglione	
Art Unit	N/A	
Examiner Name	N/A	
Attorney Docket Number	GRIGLIONE 5	

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	1	6686250	B1	2004-02-03	Kalnitsky et al.	
	2	6437376	B1	2002-08-20	Ozkan	
	3	3725161		1971-03-03	A.B. Kuper	

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	1	20030189239	A1	2003-10-09	Kalnitsky et al.	

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	1	0 490 111	EP	A1	1992-06-17	Pong-Fei Lu		<input type="checkbox"/>

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10598213 - GAU: 2893

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2	0 435 135	EP	A1	1989-12-27	Douglas P. Verret	<input type="checkbox"/>
3	0 881 669	EP	A1	1998-12-02	Salvatore Lombardo	<input type="checkbox"/>
4	2005/088721	WO	A1	2005-09-22	Michelle D. Griglione	<input type="checkbox"/>

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	1	LIU, H.K. et al.; "Effects of Ge Concentration of SiGe Oxidation Behavior"; Applied Physics Letters, American Institute of Physics, Vol. 59, no 10, 2 September 1991; Pages 1200-1202 (XP 000324711).	<input type="checkbox"/>
	2	"Chem-Mech Polish for Self-Aligned Emitter/Base isolation in Single Poly Epi-Base Transistors"; IBM Technical Disclosure Bulletin, IBM Corp., Vol. 35 No. 5 October 1992; Pgs. 208-210 (XP 000312937).	<input type="checkbox"/>
	3	LIM, Y.S. et al.; "Dry Thermal Oxidation of a Graded SiGe Layer"; Applied Physics Letters, Volume 79, Number 22, 26 November 2001; Pages 3606-3608.	<input type="checkbox"/>
	4	LeGOUES, F.K., et al.; "Oxidation Studies of SiGe"; J. Appl. Phys., Vol. 65, No. 4, 15 February 1989; Pages 1724-1728.	<input type="checkbox"/>

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- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Greg H. Parker/	Date (YYYY-MM-DD)	2006-08-21
Name/Print	Greg H. Parker	Registration Number	44995

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